

HA DIEP NGUYEN

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EMPLOYMENT	Krannert School of Management, Purdue University Assistant Professor Jan 2021 – Visiting Assistant Professor Jun 2020 – Dec 2020 Department of Banking and Finance, Foreign Trade University Lecturer 2011 – 2015
EDUCATION	Indiana University Bloomington PhD in Business, Finance concentration 2015 – 2020 <u>Thesis</u> : <i>Motivation and Distortion: The Economics of Incentives in the Credit Market</i> University of Southampton MSc in International Banking and Financial Studies 2010 – 2011 Foreign Trade University BA in International Business Studies 2004 – 2008
RESEARCH INTERESTS	Credit Markets, Household Finance, Corporate Finance, Financial Distress
WORKING PAPERS	1. Social Collateral (<i>with Huong Dang</i>) Using a randomized field experiment with credit card borrowers of a retail bank, we find that not only social image incentives strongly reduce delinquency, but the effects are as significant as those of other important material incentives, e.g., credit reputation. Borrower’s responses are mostly driven by instrumental role of reputation in social interactions, à la Bénabou and Tirole (2006). Presentations : NBER SI Household Finance (Cambridge, 2020) ◊ WFA (San Francisco, 2020) ◊ SFS Cavalcade (UNC Chapel Hill, 2020) ◊ EFA (2020) ◊ FIRS (Budapest, 2020) ◊ MFA (Chicago, 2020) ◊ 9th MoFiR Workshop on Banking (Lisbon, 2020) ◊ Colorado Finance Summit - Best PhD Paper Award (Vail, 2019) 2. Simultaneous Debt-Equity Holdings and Distress Resolution (<i>with Yongqiang Chu, Jun Wang, Wei Wang and Wenyu Wang</i>) Constructing a comprehensive data set of financially distressed firms that restructured out of court or in bankruptcy, we find that simultaneous holdings of debt and equity help facilitate cost-effective distress resolution by mitigating the creditor-shareholder conflicts. Presentations (<i>*by co-authors</i>): AFA (Atlanta, 2019) ◊ SFS Cavalcade* (Pittsburg, 2019) ◊ MFA (Chicago, 2019) ◊ FMA (New Orleans, 2019) ◊ China International Conference in Finance (CICF)* (Hangzhou, 2019) ◊ Australasian Finance and Banking Conference (Sydney, 2018). 3. Index Investing and Corporate Investment-Price Sensitivity (<i>with Matthew Billett and Jon Garfinkel</i>)

Index investment reduces firm investment-stock price sensitivity, consistent with the hypothesis that index membership undermines managers' abilities to learn from stock prices.

Presentations: Dartmouth/Minnesota/Maryland Virtual Corporate Finance Fridays, NFA (Vancouver, 2020)

WORK IN
PROGRESS

4. Distress Resolution under Capital Requirement
5. The Greying of US Bankruptcy
6. Social Coordination in Response to Disaster: Experimental Evidence from the COVID-19 Pandemic.

TEACHING

Kelley School of Business, Indiana University Bloomington
Intermediate Corporate Finance (F305)

Fall 2018

FTU and Niels Brock Copenhagen Business College Joint Program
International Financial Management

Fall 2011 – Spring 2015

HONORS AND
AWARDS

Kelley Outstanding Doctoral Student Award, 2020
Colorado Finance Summit Best PhD Paper Award, 2019
National Foundation for Science and Technology Research Grant, 2019-2020
Kelley School of Business Research Productivity Award, 2016, 2017, 2018, 2019
MFA Student Travel Award, 2020
AFA Travel Grant, 2019
Indiana University Graduate School Fellowship, 2015-2019

PROFESSIONAL
SERVICES

Discussant
Australasian Finance and Banking Conference
Financial Management Association Annual Meeting

Sydney, 2018
San Diego, 2018

Adhoc Referee
International Review of Finance

AFFILIATION

CFA Charter Holder

PROGRAMMING

Stata, Python, R, Matlab, L^AT_EX

Updated: August, 2020